



1 Genetic programming for natural language processing

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3 Received: 15 October 2018 / Revised: 3 April 2019

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5 Abstract

6 This work takes us through the literature on applications of genetic programming to
7 problems of natural language processing. The purpose of natural language process-
8 ing is to allow us to communicate with computers in natural language. Among the
9 problems addressed in the area is, for example, the extraction of information, which
10 draws relevant data from unstructured texts written in natural language. There are **AQ1**
11 also domains of application of particular relevance because of the difficulty in deal-
12 ing with the corresponding documents, such as opinion mining in social networks,
13 or because of the need for high precision in the information extracted, such as the
14 biomedical domain. There have been proposals to apply genetic programming tech-
15 niques in several of these areas. This tour allows us to observe the potential—not yet
16 fully exploited—of such applications. We also review some cases in which genetic
17 programming can provide information that is absent from other approaches, reveal-
18 ing its ability to provide easy to interpret results, in form of programs or functions.
19 Finally, we identify some important challenges in the area. **AQ2**

20 **Keywords** Genetic programming · Grammatical evolution · Natural language
21 processing · Applications · Challenges

22 1 Introduction

23 This article reviews some applications of techniques based on genetic programming
24 and grammatical evolution to some of the main areas of NLP. It is not intended to be
25 an exhaustive sample of the variety and importance of the applications of these tech-
26 niques to natural language processing (NLP) tasks. Under the name of genetic pro-
27 gramming (GP) [41] there is a class of evolutionary algorithms that evolve programs

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28 or functions usually represented as parse trees of variable size. Typical GP operators
29 swap sub-trees between two parents, delete sub-trees in a parent, or perform changes
30 at the nodes. Grammatical evolution (GE) [58, 59, 65] is a variant of GP that evolves
31 individuals' genotypes represented as integer strings. To compute the fitness, the
32 genotype is mapped to the phenotype or parse tree by means of a Backus–Naur form
33 (BNF) grammar. The integer representation simplifies the application of the genetic
34 operators.

35 Evolutionary algorithms in general have been applied to different NLP [2, 4] and
36 information retrieval [20] tasks. However, they are not as many as one could expect
37 from the complementary nature of GP techniques and NLP problems. This comple-
38 mentarity [2] relies on several facts. Statistical methods have become a fundamental
39 approach to computational linguistics, bringing significant advances in tasks such
40 as disambiguation, parsing or grammar induction. These methods are formulated as
41 statistical models to be optimized, thus providing a natural fitness function when
42 the problems are tackled with evolutionary algorithms. In addition, GP provides a
43 natural way to integrate data representing the linguistic model as test cases. On the
44 one hand, GP has been successfully applied to many classification problems [22], so
45 it can also be applied to NLP tasks, which often involve classification problems. On
46 the other hand, many NLP tasks are addressed by building rules more or less auto-
47 matically, and GP has proven to have a great potential in generating rules for many
48 problems. In fact, it is probably the most frequent application of GP to NLP.

49 The somewhat limited number of GP applications is possibly due to efficiency
50 issues. GP requires evolving a population of complex structures. The computation
51 of the fitness function for a set of training data is usually also a time-consuming
52 process. However, GP has important advantages over other ML methods. One of
53 them is the interpretability of the results. In general, it is important to understand
54 the mechanisms a system has followed to achieve its results because this provides
55 insights for further improvements. In addition, this is essential in some applications.
56 For example, when extracting information in the biomedical domain, health care
57 professionals need to know on which data the system's predictions are grounded,
58 in order to evaluate its reliability. As a matter of fact, many NLP systems combine
59 ML and rule-based techniques. For these reasons, and also because of an increasing
60 computing capability, we can foresee an increase of GP applications to NLP.

61 In this work we review a few of these applications. Far from being exhaustive,
62 we try to illustrate the NLP problems in which GP techniques have been most
63 commonly applied. First of all, we review some of the first works where GP
64 was applied to NLP problems. There are mainly related to the identification of the syn-
65 tactic structure of the natural language. Later, we focus on what probably is the main
66 area of application: extraction of information from documents. It includes works
67 related to several of the main aspects of this topic, such as named entities recogni-
68 tion (NER), relationship extraction, and entity linking. Afterwards we review some
69 representative works in natural language generation. Finally, we devote a section to
70 some real-world applications of NLP that in some cases have been addressed using
71 GP: detection of spam, opinion mining, and applications to the biomedical domain.

72 Figure 1 shows a scheme of the main topics covered in this review. There are
73 two main areas of research in NLP. One of them is natural language understanding

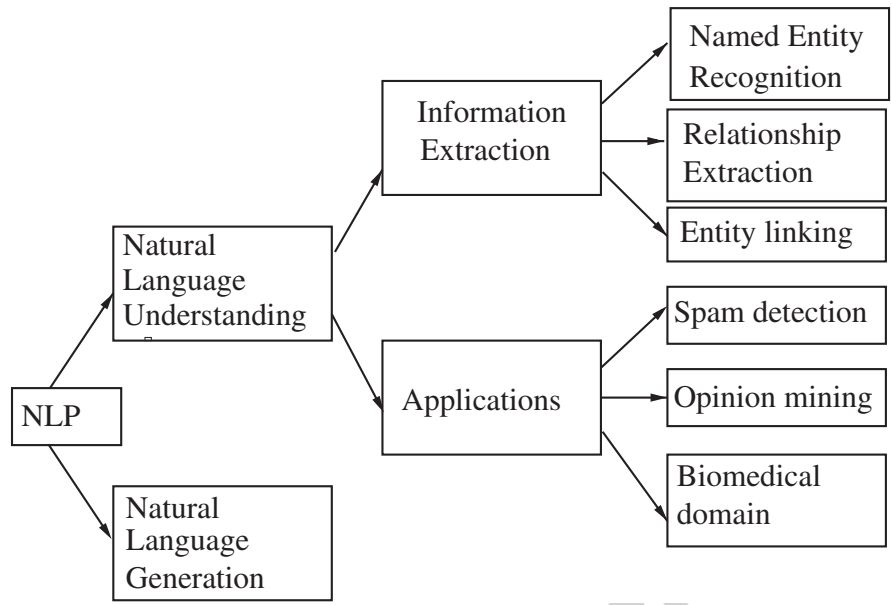


Fig. 1 Scheme of the main NLP topics with GP applications considered in this article

74 (NLU), often referred simply as NLP, which attempts to understand the meaning
 75 behind a text, and produces some kind of structured data with the information iden-
 76 tified in the text. The other area is natural language generation (NLG), which start-
 77 ing from data tries to reflect them in a well written text. Most works in NLP are
 78 focused in understanding, although there are also many proposals for NLG. The
 79 NLU area comprises many important NLP tasks, such as parsing, word sense dis-
 80 ambiguation, document classification, or information extraction, which in turn cover
 81 other sub-tasks. Most of them are applied to solve practical problems in the real
 82 world. The selection of topics is based on two basic requirements. The first one is
 83 the relevance of the topic itself in NLP. The second one is that the corresponding
 84 problem has been approached with several proposals based on GP. Regarding the
 85 applications of NLP to practical problems, apart from the previous conditions, it has
 86 also been taken into account that they were hot problems in the area of NLP, or that
 87 a particularly high number of contributions used GP to solve it. Opinion mining and
 88 information extraction in the biomedical domain are in the first case. Opinion min-
 89 ing is one of the main interest of the companies providing products based on NLP.
 90 For example, they offer other companies different ways of monitoring the opinion
 91 about their products. Applications to the biomedical domain has also become one
 92 of the main areas of application of NLP techniques due to the huge amount of text
 93 documents containing information relevant to health care. Spam detection, in addi-
 94 tion to being a relevant topic in the field, seems to be a problem particularly well
 95 suited for applying GP, given the number of related proposals.

96 An area related to NLP is information retrieval (IR). IR seeks to recover from
 97 a collection a subset of relevant documents for a query, ranking them according to

Author Proof

98 their relevance for the query, and is usually based on key-word search process. It dif-
99 fers from information extraction, which aims to extract from the content of a docu-
100 ment or set of documents the salient facts, entity mentions or relationships. Some
101 applications of GP to IR have been treated in a previous survey [20], and are not
102 included in this review.

103 2 First applications of GP to NLP

104 Let us considered some of the first applications of GP to NLP. They were mainly
105 related to the identification of the syntactic structure of the language, including top-
106 ics like grammar induction, and natural language parsing. The fact that most of them
107 dealt with syntactic aspects of the language is probably due to the analogy between
108 the usual representation of the language syntax as a tree and the representation
109 adopted in GP.

110 Grammar induction (GI) aims to learn the grammar underlying a collection of
111 sentences. This process has many applications such as syntactic pattern recognition
112 and machine translation. In turn, natural language parsing amounts to break down a
113 sentence into groups of words with a particular linguistic function, such as subject
114 or object of a verb, and to establish the relationship among those parts. Because the
115 most natural data structures for representing this organization are trees, GP can be
116 considered an appealing technique for dealing with the process of generating them.
117 And actually several works have been proposed along this line.

118 Smith and Witten [67] proposed an evolutionary algorithm for GI which evolved
119 a population of context-free grammars (CFG) represented as LISP AND-OR
120 s-expressions. An example of grammar is:

121 (AND (OR (a the)(OR dog cat))(OR saw bit) (OR a the)(OR dog cat))

122 which is able to parse a sentence like “the dog saw a cat” considered in this work.

123 Individuals were selected for reproduction and mutation in proportion to their
124 size. The fitness of the whole population was measured by its ability to parse a train-
125 ing set. The system was able to infer simple natural language grammars for a small
126 set of training examples. Some years later, Korkmaz and Ucoluk [39] presented
127 another work which aimed to guide the recombination process by extracting global
128 information from the potential solutions. This was done by introducing a control
129 module which ran a classification algorithm to determine valid and invalid chro-
130 mosomes. Experiments showed that the controlled search had a better performance
131 compared to the straightforward application of GP.

132 Another early work related to parsing was developed by Rosé [63] who used
133 GP to aid in recovery from parser failure in speech-to-speech machine translation.
134 Araujo [1] proposed a GP system for natural language parsing which implemented a
135 probabilistic bottom-up parser and evolved a population of partial parses. The pro-
136 posal was extended in a later work [3] for performing Part-Of-Speech (POS) tagging
137 and parsing simultaneously applying multiobjective GP to deal with both problems.

138 These works show the structural affinity between GP techniques and NLP
139 problems concerning syntax, which suggests the simplicity for combining them.

140 However, despite the promising results obtained by these systems for some natu-
 141 ral language fragments, their efficiency was limited by the GP computational cost,
 142 increased by the cost associated to the size of the grammar underlying the consid-
 143 ered fragment of the language.

144 2.1 Summary

145 Table 1 shows the main features and the publication years of some of the first works
 146 applying GP to NLP. We can observe that from the very early days of GP, NLP-
 147 related works, such as the one by Rosé [63], began to appear. We can see that these
 148 works present some common features, such as the way of evaluating individuals,
 149 that in most cases amounts to comparing the tree representing the grammar or the
 150 parsing with a reference model for the sentences considered.

151 3 Information extraction

152 The aim of information extraction (IE) [36] is to convert unstructured information
 153 from texts into structured data, so that it can be easily used by other processes. One
 154 of the main tasks involved in IE is named entity recognition (NER). It amounts to
 155 identifying those words or phrases that correspond to a particular kind of concept
 156 (person names, organizations, diseases, etc.). Some kinds of entities that have been
 157 often considered are people, organizations, locations, diseases, drugs, genes, pro-
 158 teins, etc. Once the entities have been located, another interesting problem is extract-
 159 ing the relationships between them. In addition, sometimes the same concepts are
 160 referred in different sources in different manners. Because of this, the search for the
 161 links between entities have also been studied in various works.

162 One of the reasons behind the complementarity of GP and IE is the GP ability to
 163 find suitable patterns or functions to solve a problem. Most popular methods in IE
 164 are ML and pattern extraction, sometimes used together. Indirectly, GP has proven
 165 useful in improving ML systems, for example for feature selection. However, here

Table 1 Main features of the first GP applications to NLP

Topic	Proposal	Main features	Year
Grammati- cal induc- tion	Smith and Witten	Grammars represented as LISP AND-OR s-expressions, CFG	1995
	Korkmaz and Ucoluk	GP-trees represented as vectors, allows combination of CFG	2004
Parsing	Rosé	LISP s-expressions	1990
	Araujo	Partial parse trees	2004
	Araujo	Partial parse trees, (multiobj.:NSGA)	2006

For each work, the third column indicates the representation of individuals used by the algorithm. The last row, for Araujo [3], indicates that the work applied a multiobjective approach. The fitness used in these works is based on comparing the individual with a reference standard for the parsing of the sentences considered

166 we focus on direct applications to IE, which are typically based on identifying pat-
167 terns or regular expressions that characterize the information to be extracted.

168 **3.1 Named entity recognition**

169 NER is frequently approached via supervised techniques, such as ML [56], and more
170 recently, deep learning [18]. However, there are other approaches that attempt to
171 capture the patterns associated with the type of entities considered. Regular expres-
172 sions are one of the main approaches to NER. Several works have investigated the
173 application of GP or GE to the problem of identifying regular expressions in docu-
174 ments. A regular expression or *regex* is a way for representing string patterns pre-
175 cisely. They have multiple applications in tasks related to natural language, such as
176 information search, data validation, and parsing. And they are the common way of
177 representing the patterns for applications related to NER. Because generating the
178 regex for a specific task is a highly complex and error-prone process, a number of
179 approaches have been proposed for generating them automatically.

180 Gonzalez-Pardo and Camacho [27] applied GE for extracting regex matching url
181 patterns. Grammatical evolution using CFGs may generate semantically incorrect
182 individuals, because CFGs do not consider the context of the replaced non-terminal
183 symbols. To deal with this problem, these authors evaluated four types of grammars:
184 CFGs, CFGs with a penalized fitness function, extensible CFGs, and Christiansen
185 grammars. In Christiansen grammars (CG) [16, 60] non-terminals have a set of
186 attributes, each of them with a name and a value. The rules contain expressions to
187 compute the value of the attributes, and allow the grammar to be modify during the
188 evolution process, for example by adding or deleting rules. The best results were
189 achieved using a Christiansen grammar.

190 Bartoli et al. [6] applied GP for extracting regex devoted to entity extraction
191 applications. The system applied multiobjective GP to generate a regex for a task
192 specified by a set of examples. Candidate solutions were represented as syntax trees
193 where internal nodes were assigned regex operators. The adopted multiobjective
194 approach was Non-Dominated Sorting Genetic Algorithm II (NSGA-II) which is
195 used to optimize two fitness functions. One of these functions was the edit distance
196 (minimum number of operations required to transform one string into the other)
197 and the other one was the length of the regex. The proposal was evaluated on 12
198 extraction tasks including email addresses, IP addresses, web URLs, HTML head-
199 ings, Twitter hashtags, and citations. The authors reported results of precision and
200 recall that compare favorably with those of previous systems using the same data.
201 In later works, Bartoli et al. [9] proposed an active learning approach in which the
202 user acts as an oracle. Initially the user presents a few snippets to the system indi-
203 cating the entities to be extracted. Then, a learner based on GP builds a solution, in
204 the form of a regex, and examines the input text, selecting the most promising snip-
205 pets according to the current model. Selected snippets are presented to the user, who
206 indicates if they should be extracted or not. The system was evaluated on the data-
207 sets used in [6] and the authors concluded that active learning, starting with only
208 one annotated match, is a viable approach for the considered application, and that it

209 significantly decreases the required amount of user annotation. Bartoli et al. [8] have
210 also explored the use of GE to the problem. Specifically, they considered the prob-
211 lem of learning similarity functions useful for syntax-based entity extraction from
212 unstructured text streams. The input to the algorithm are pairs of strings and an indi-
213 cation of whether they correspond to the same syntactic pattern.

214 One important area of application of NLP techniques is the biomedical domain
215 [14, 17, 32]. Because of the huge amount of documents produced in this domain,
216 including scientific articles, and medical reports, IE techniques are needed to pro-
217 cess them, and to exploit the knowledge they contain. As mentioned above a first
218 step of the process is applying NER techniques.

219 Korkontzelos et al. [40] applied GP to reduce the amount of annotated data
220 required to train a NER system. They proposed a voting system able to combine
221 predictions from several recognizers. The system was evaluated on the PharmacoKi-
222 netic Corpus (PK corpus) [73], manually annotated and composed of 240 MED-
223 LINE abstracts annotated with drug names, enzyme names and pharmacokinetic
224 parameters. Results show that the system achieves state-of-the-art precision, but
225 lower values of recall. In a second phase, and in order to improve recall, the authors
226 applied GP to generate string patterns that can then be used as regex to capture addi-
227 tional drug names.

228 3.2 Relationships identification

229 Interesting patterns appear in the identification of relevant relationships in different
230 domains. One of these domains is the biomedical one, although it is not the only
231 one. Some of the relationships considered in this domain are protein–protein inter-
232 action [42], drugs and genes [61], drugs and adverse effects [44], and rare diseases
233 and disabilities [23]. Most of these works focus on solving the problem at sentence
234 level, i.e. they do not consider relationships between entities appearing in different
235 sentences. Dealing with this problem requires both, identifying the entities that can
236 be related, and verifying the existence of a relationship between the entities found,
237 since the occurrence of two entities in the same sentence does not imply a relation-
238 ship. Most works tackle both problems separately, or assume that the entities have
239 been previously annotated, either manually or automatically. Many systems in the
240 area have followed a supervised approach, applying different classifiers, and recently
241 deep learning techniques [23, 44, 53] to the problem. There are however some inter-
242 esting proposals considering GP, that apart from competitive results can provide
243 more informative solutions.

244 In an early work on the subject, Bergström et al. [11] applied GP to find semantic
245 relationships in texts from the web. They focused on the hyponym relation between
246 nouns, i.e. a subordinate relation among nouns. Individuals in the population were
247 syntactic trees. The fitness function was computed as the rate of related pairs of
248 words that the individual captures according to Wordnet [52], a dictionary of nouns,
249 verbs, adjectives and adverbs which organizes related concepts into synonym sets,
250 representing concepts. The system was able to provide patterns that detect simple
251 types of hyponyms.

252 More recently, in the biomedical domain, Bartoli et al. [7] have applied GP to
253 identify sentences that contain descriptions of interactions between genes and pro-
254 teins. Specifically, they used GP to obtain a model of syntax patterns composed of
255 part-of-speech (POS) tags. The model consisted of a set of automatically learned
256 regex. They used a dictionary of genes and proteins for the detection of entities. The
257 system was evaluated on 456 sentences obtained from two corpora, both derived
258 from genic–protein interactions extraction challenges in the biomedical domain. The
259 GP system obtained an accuracy similar to the one reached by other methods used as
260 baseline in the work. Also in the biomedical domain, Bootkrajang et al. [12] applied
261 an evolutionary hypernetwork classifier to protein–protein interaction (PPI) sentence
262 classification, i.e. to identify the text sentences in a dataset that mention a PPI. The
263 authors stated that the proposed model provided good performance compared to ML
264 systems, such as Naive Bayes and SVM.

265 3.3 Entity linking

266 Another task usually included in IE is entity linking (EL). EL refers to identify and
267 connect the different ways in which the same entity is mentioned in the texts. EL is
268 usually carried out by resorting to knowledge bases containing the entities corre-
269 sponding to the different entity mentions. These knowledge bases may be organized
270 as taxonomies or ontologies. EL helps to improve the performance of information
271 retrieval systems as well as the search performance in document repositories. GP
272 has been used in several works related to this task.

273 Carvalho et al. [21] applied GP to find effective deduplication functions, i.e. func-
274 tions able to identify in a data repository entries referring to the same entity in spite
275 of misspelling words, typos, or different writing styles. This problem has received
276 a lot of attention since the presence of dirty data in the repositories degrades the
277 performance. This work presented experiments on real data sets containing scien-
278 tific article citations and restaurant catalog records. The authors showed that their
279 approach was able to improve the results of a state-of-the-art SVM based approach.
280 Later, Isele and Bizer [35] proposed other system on the subject trying to improve
281 the previous results. They presented the ActiveGenLink algorithm, combining GP
282 and active learning to learn linkage rules which included data transformations.

283 Tididi et al. [70] used GP to search for a cost function able to detect the strength
284 of the relationship between two given entities. Relationships in a Web of data can
285 be represented as paths in the graph of linked data. This work builds and selects
286 the functions that best perform in ranking sets of alternative relationship paths. The
287 functions represented by the individuals are created on a set of features related to
288 possible topological or semantic properties of the nodes and edges of the graph.

289 A topic related to EL is the construction of taxonomies and ontologies. Domain
290 specific information is usually arranged hierarchically as taxonomies and onto-
291 logies. An example is the Hermes ontology [26], which is composed of concepts
292 from the financial domain and is used in news classification and querying. These
293 ontologies need to be kept up-to-date in an efficient way, and to include new selec-
294 tion patterns to extract concepts from new documents. IJntema et al. proposed the

295 lexico-semantic Hermes Information Extraction Language (HIEL) [34], to include
296 semantic elements in the extracted patterns. Because the construction of such pat-
297 terns is a difficult task, in a later work [33] they proposed to apply GP for helping to
298 build IE rules in the financial domain. Individuals are the tree structures used by the
299 HIEL language. Fitness is provided as the F_measure computed by comparing the
300 extracted information with manually annotated information. Araujo et al. [5] also
301 presented a work devoted to generate hierarchical structures, or taxonomies from
302 concepts from the Wikipedia by applying GE. Each Wikipedia article is assigned a
303 topic and is linked by hyperlinks that connect related topics. The goal of this work
304 was to identify taxonomies of concepts associated to linked Wikipedia pages. This
305 was done by searching for functions that combine a set of features extracted from the
306 contents of the Wikipedia pages.

307 Although in some cases the results reached by the mentioned works may be a bit
308 lower than others using machine and deep learning approaches, they have the impor-
309 tant advantage of supplying information about the knowledge used by the system
310 to get the results. This information may be for example the elements that are part
311 of the optimal program or function obtained by the GP algorithm. In many cases
312 this information is of paramount importance for trusting in the results. For example,
313 doctors need to know the knowledge applied by a system to provide relationships
314 between medical entities to be able to rely on them for making diagnoses or pre-
315 scribing treatments.

316 3.4 Summary

317 Table 2 presents a summary of the contributions mentioned in this section. Looking
318 at the publication years, we can observe that, in general, these works are much more
319 recent than those presented in the previous section, the latest publications being as
320 recent as 2018. The third column in the table, describing the main features of the
321 proposals, contains different information depending on the topic considered. The top
322 part of the table, devoted to NER, includes works for different applications: url pat-
323 tern, drug concepts, and the three works by Bartoli et al., evaluated on a set of dif-
324 ferent extraction tasks including email addresses, IP addresses, web URLs, HTML
325 headings, Twitter hashtags, and citations. Although these last works have the same
326 authors, all of them have been included because they are substantially different pro-
327 posals. The first one proposes the use of regex and a multiobjective algorithm, the
328 second one is devoted to obtaining functions for the computation of the similarity
329 between regex using GE, and finally the last one left the evaluation to the very user.
330 The central part of the table contains works focused on the extraction of relations.
331 The table shows the concepts involved in the relationship sought. The bottom part of
332 the table comprises several works devoted to entity linking. The table indicates the
333 main goal of the work: looking for functions that identify duplicated entities [21],
334 looking for connection between web entities [35], looking for functions to com-
335 pute the strength of a relationship [70] or for building taxonomies, in the financial
336 domain [33] or between Wikipedia pages [5], in this case using GE.

Table 2 Main features of GP applications to information extraction problems

Topic	Proposal	Main features	Year
Named entity recognition	Gonzalez-Pardo and Camacho	(Url patterns) (regex)	2011
	Korkontzelos et al.	Drug concepts (regex)	2015
	Bartoli et al.	Several concepts (regex) (multiobj.)	2014
	Bartoli et al.	Several concepts (encode similarity functions) (GE)	2016
	Bartoli et al.	Several concepts (regex) (user as oracle)	2018
Relationship identification	Bergström et al.	Hyponym relation	2000
	Bartoli et al.	(Genes and proteins) (regex)	2015
Entity linking	Bookrajang et al.	(Protein-protein) (evol. hypernetwork classifier)	2009
	Carvalho et al.	Deduplication functions	2012
	Isele and Bizer	Web entities	2013
	Tiddi et al.	Strength of the relationship	2016
	IJntema et al.	Taxonomies (financial domain)	2012
	Araujo et al.	Taxonomies (Wikipedia pages), GE	2018

337 4 Natural language generation

338 Another well suited area for the application of GP is natural language generation
339 (NLG). NLG aims to produce natural language text from the computer represen-
340 tation of information. The traditional approach to NLG is based on grammars and
341 templates. Templates are particularly popular because of their simplicity. How-
342 ever, the design of templates (or grammars) able to generate high quality text,
343 while preventing the generation of wrong sentences, is a difficult task. NLG [51]
344 is an active area of research, with different applications, such as dialog systems.
345 Recent proposals look for including mechanisms to enhance novelty in the gener-
346 ated texts [62] and GP may be one of these mechanisms.

347 One of the first works on this topic was proposed by Manurung [48] to gener-
348 ate poetry. The system, named McGONAGALL, characterized poetry by three
349 features: meaningfulness, grammaticality and poeticness, which considered
350 aspects such as metric and rhyme. The system was able to produce a text almost
351 metrically perfect. An example of generated poetry is the following:

352 with a bandy very large waste with
353 the platinum lion , the mind is his waste with
354 the product . in a boy , with a african pole in
355 his bill with his whiskers , his platinum toad
356 in his bill in her dwells in his bean . his hippopotamus
357 will be the frog in a african child
358 in a soil with the fish with the tiger with the
359 grin in his bean.

360 In a later work by different authors, Manurung et al. [49] developed a GP sys-
361 tem aiming to generate text presenting certain meter or patterns in the rhythm.

362 Another related area of application are conversational systems. Kim et al. [38]
363 proposed a GP system to generate the answers that a conversational agent pro-
364 vides to user's queries. The system performs several preprocessing steps includ-
365 ing keyword extraction. In this work, keywords are words appearing frequently on
366 the particular domain. Keywords extracted from the query are compared with key-
367 words in answer-scripts. Individuals in the GP population were trees representing
368 patterns corresponding to Korean grammar structures. Fitness was computed by
369 an interactive evaluation [69], in which the user was asked to provide a score for
370 the generated replies. The authors claim that the replies of an agent introducing a
371 fashion web site were more natural than those of other proposals. In a later paper,
372 Lin and Cho [45] also proposed interactive GP for generating replies. In this case,
373 instead of using grammars for encoding the trees in the population, the authors
374 proposed sentence plan trees, trying to reduce the convergence time. Plan trees
375 are binary trees whose leaves are labeled by pre-defined templates of simple sen-
376 tences. The internal nodes were labeled with different joint operators, that allow
377 to combine sentences.

378 Except for very restricted contexts, NLG still remains a hard task in the NLP
379 area. There is not only a need of expressing a given content as a grammatically

380 correct text, but it also has to be done in a natural and fluid way. Given the pos-
381 sibilities of GP to select individuals taking into account novelty and diversity, its
382 application to NLG can be very interesting. However, the number of works in this
383 line is still quite limited. NLG systems still need to reach a higher level of matu-
384 rity to extend their use. The application of GP for building these systems will be
385 conditioned to the amount of research devoted to design more sophisticated NLG
386 systems in general.

387 4.1 Summary

388 Table 3 summarizes the selected works devoted to natural language generation. We
389 can observe that they are not very recent, the last of them being published in 2008.
390 The second column of the table, devoted to the specific domain for which the text is
391 generated, indicates that they are very specific domains, such as poetry [48, 49], or
392 question answering [38, 45]. Concerning the representation of individuals, the men-
393 tioned works use one form or another of trees representing grammars.

394 5 NLP applications

395 This section includes some works focused on specific problems of high interest,
396 where NLP techniques have proven very useful. Among them are the detection of
397 spam in emails, the mining of opinions, that allows a company to know the custom-
398 ers' satisfaction with a service or product, and applications to medicine.

399 5.1 Spam detection

400 The huge amount of spam, i.e. unsolicited electronic mails or text messages that are
401 sent on the Internet, has made anti-spam filtering an active area of research. Spam-
402 mers use a large number of different strategies to send illegal and fraudulent mes-
403 sages. This leads to anti-spam filters needing to be continually revised and updated
404 to be adapted to new forms of attack [37]. The problem has been addressed with ML
405 techniques, collaborative schemes and also by the identification of regex appearing
406 in spam messages. Actually, popular anti-spam frameworks such as SpamAssassin
407 [66] allow users to define regex to improve the system filtering. This is why it is so
408 useful to automatically generate anti-spam filtering rules. Here some of them based
409 on GP are considered.

Table 3 Main features of works applying GP to natural language generation

Proposal	Main features	Year
Manurung	Poetry	2003
Kim et al.	Conversational agents	2004
Lin and Cho	Reply generation	2005
Manurung et al.	Poetry	2008

410 Greenstadt and Kaminsky [30] were the first to propose the use of GP to gener-
411 ate regex for spam filtering. They performed different experiments to evaluate their
412 system. The fitness function was a linear combination of the number of legitimate
413 messages that match the regex and the number of spam e-mails that do not match
414 with the regex. The evaluation was carried out on a small set of email messages and
415 some false positive cases were detected. Conrad [19] tried to improve the previous
416 proposal by defining a fitness function favoring those regex with minimal length and
417 maximizing the matching with spam samples. This GP system, called GenRegex,
418 generated a set of Perl Regular Expressions from spam and ham messages. In a
419 later work, Basto-Fernades et al. [10] proposed the use of GE for the problem. They
420 applied a multiobjective evolutionary mechanism, instead of linear combinations of
421 the measures to be optimized in a single function. In a recent work, Ruano-Ordás
422 et al. [64] have proposed DiscoverRegex. This system tries to avoid problems of the
423 previous proposals, such as the minimizations of the length of the generated regex,
424 that can exclude useful solutions. They also tried the reduce the generation of inef-
425 ficient regex. Their proposal combines improvements in the evaluation of candidate
426 regex and mechanisms to avoid the evaluation of a pattern more than once.

427 5.2 Opinion mining

428 Opinion mining [46], also known as sentiment analysis, refers to applying NLP tech-
429 niques to study the attitude of the author of a text. Its purpose is to determine if the
430 text expresses an emotion and whether it is positive or negative, as well as its inten-
431 sity. Another related task is subjectivity analysis that discriminates the objective or
432 subjective nature of a text. There are several aspects that made this problem difficult.
433 For example, a word such as “low” may be associate with a positive opinion, as it
434 happens in “low noise”, or to a negative one, as in “low performance”. Other dif-
435 ficulties come from the presence of negation, and speculation, that can change the
436 sense of the words. Another problem is the fact that the same text can express posi-
437 tive and negative opinions regarding different aspects of the same product. This area
438 has received a lot of attention in recent years due to the great relevance that has for
439 companies that want to know the market response to their products, advertisements,
440 etc. There have been some proposals applying GP to deal with it.

441 Graff et al. [28] applied semantic GP [55] to the problem. The key idea of this
442 approach was creating the best offspring that can be produced by a linear combi-
443 nation of the parents. The system was tested on the data provided by an NLP
444 evaluation campaign on sentiment analysis, TASS15, hold in 2015 [71]. Accord-
445 ing to the authors, the system reached results competitive with the performance
446 of state-of-the-art classifiers. Moctezuma et al. [54] took part in the 2017 edi-
447 tion of the TASS campaign [50] using GP. Their approach was based on distant
448 supervision, increasing the training data with new data labeled without human
449 assistance. This was done by means of a set of heuristics based on dictionaries.
450 Then, they used a set of classic classifiers trained with the two kind of datasets.
451 Finally, they applied a GP system that combines all the decision values predicted
452 by the classic classifiers. Specifically, the authors use EvoDag [29], a semantic

453 genetic programming python library. Winkler et al. [72] tested a number of ML
454 methods, including GP, to identify the sentiment of sentences available in a Ger-
455 man corpus of Amazon. The considered methods were decision trees and adap-
456 tive boosting, Gaussian processes, random forests, k-nearest neighbor classifica-
457 tion, support vector machines, artificial neural networks, and GP. They found that
458 a combination of classifiers was able to increase significantly the classification
459 accuracy. But additionally, considering the results of the classifiers separately, GP
460 was among the best ones.

461 5.3 Biomedical domain

462 The biomedical domain generates a large amount of information, including medi-
463 cal records, that is of high relevance to both health professionals and citizens.
464 This has motivated great interest in the development of NLP techniques to pro-
465 cess this information. These techniques will assist in tasks like clinical decision
466 support (CDS) [14, 17], which helps health care professionals and citizens to
467 make decisions by providing easily accessible health-related information. Among
468 the documents considered in this domain are both, medical reports and scien-
469 tific articles, that have very different nature. Several related works have already
470 been mentioned in the section dedicated to IE. The availability of all these health
471 data offers an unique opportunity to develop methods for extracting relationships
472 among medical concepts, that can help to make diagnoses or predict adverse
473 drugs effects, for example. We mention here some additional works related to the
474 need of building systems that report on their behaviors.

475 Holzinger et al. [31] have presented an interesting study addressing the need in
476 the medical domain of making predictions re-traceable in such a way that health
477 care professional knew where the machine decisions come from. They mentioned a
478 number of attempts of connecting the large databases of structured knowledge, such
479 as the Unified Medical Language System (UMLS), with the distributional models,
480 such as dense vector representations or embeddings. A path of research along this
481 line is the integration of the interpretability of knowledge-based systems and the
482 efficiency of neural approaches. There are some proposals along this line, like the
483 one by Faruqui et al. [25] that proposed retrofitting neural embeddings with infor-
484 mation from knowledge bases, or Faralli et al. [24] that suggested linking dense vec-
485 tor representations to lexical resources and knowledge bases. GP can be a useful
486 alternative to build easy to interpret systems and to integrate different technologies
487 in this domain. Interpretability in GP systems comes from the data and operators
488 included in the program or function selected as the best solution.

489 There are also works, such as the one by Brameier and Banzhaf [13] compar-
490 ing the performance of GP and neural networks, that have shown that GP is able
491 to reach similar performances in classification and generalization in a number of
492 problems related to diagnosis. Although the considered problems were not related
493 to NLP tasks, these results indicate the ability of GP to reach comparable perfor-
494 mance to neural networks.

495 **5.4 Summary**

496 Table 4 shows selected works applying GP to different real world problems.
 497 Although some of these works appeared quite a few years ago, most are recent.
 498 Works at the top part of the table are devoted to spam detection. A commonality
 499 they share is to use GP to generate regular expressions. This is one of the most com-
 500 mon ways to address the problem, because it allows the solution to be adapted to the
 501 specific context being considered. GP, or GE in the case of [10], are used to look for
 502 an appropriate regex.

503 The central part of the table is devoted to opinion mining. The first two articles
 504 study the polarity in a Twitter dataset, while the third is evaluated on Amazon prod-
 505 uct reviews. In this topic, GP is applied in different ways, going from semantic GP to
 506 classification.

507 Finally, the bottom part of the table considers some works related to the biomed-
 508 ical domain. One of them [13] applies GP for classification in problems related to
 509 diagnosis. The other one [40] uses GP for generating a regex able to detect drug
 510 names in texts. We can observe that there are few works in this area, despite its
 511 relevance.

512 **6 Opportunities**

513 GP and GE have been applied to many different NLP problems, providing solutions
 514 different from those obtained with other more popular approaches in ML, such as
 515 classifiers (SVM, decision trees, etc.). There are several distinguishing features of
 516 evolutionary techniques, and of GP in particular, which make them particularly suit-
 517 able for some applications.

518 Two of the most differentiating characteristics of evolutionary techniques are
 519 their ability to generate rules to solve specific problems, and their ability to generate
 520 diversity. Both features are fundamental for achieving improvements in many prob-
 521 lems addressed in the NLP area. As a matter of fact, one line of research in which

Table 4 Main features of works applying GP to the considered NLP applications

Topic	Proposal	Main features	Year
Spam detection	Greenstadt and Kaminsky	(Regex)	2002
	Conrad	(Regex)	2007
	Basto-Fernades et al.	(Regex)(GE) (multiobjective)	2014
	Ruano-Ordás et al.	(Regex)	2018
Opinion mining	Graff et al.	Polarity in a tweet dataset (semantic GP)	2015
	Moctezuma et al.	Polarity in a tweet dataset (GP for emsamblig SVM classif.)	2017
	Winkler et al.	Amazon product reviews (classif. combination)	2015
Biomedical domain	Brameier and Banzhaf	Classif. for diagnosis	2015
	Korkontzelos et al.	NER biomedical	2015

522 GP could make very valuable contributions is the discovery of new knowledge.
523 There are areas in which the great amount of available data makes it difficult to mine
524 relationships mentioned in the data. An example is the biomedical area, in which the
525 search for relationships between concepts, such as diseases and genes, interactions
526 between proteins, drugs and adverse effects, etc., has great relevance. Some of these
527 connections can be found in the texts, and NLP techniques aim at extracting them;
528 but in other cases it is possible to identify hints of relationships between concepts
529 that do not appear explicitly in the documents. They can be inferred, for example,
530 by identifying certain patterns, and can lead to new knowledge. GP can be a way to
531 pursue this search, since the programs, rules or functions given as solutions provide
532 clues about new possible connections.

533 Another reason that makes the use of GP specially attractive for NLP problems is
534 that it can provide results that can be interpreted more easily than those provided by
535 other approaches. Neural networks have yielded a dramatic improvement on many
536 problems, quite a few of them in the NLP area. However, the black box nature of
537 these systems can limit the acceptance of their results. Many applications, such as
538 those in the medical or financial domains, require an interpretation of the results
539 and predictions of the system. In contrast, GP algorithms provide programs, rules or
540 functions that are easy to interpret. They are composed of operators and data, which
541 human beings can understand.

542 Evolutionary algorithms provide great adaptability, allowing the programmer to
543 easily incorporate specific knowledge about a problem. This can be done in differ-
544 ent elements of the algorithm, such as the representation of individuals, the fitness
545 function, or the genetic operators. All these elements are frequently defined specifi-
546 cally for the considered problem, thus allowing to take advantage of all the available
547 knowledge. In many NLP applications such knowledge is available. This knowledge,
548 which allows NLP researchers to craft rules tailored to the specific framework of a
549 problem, can be introduced into the design of evolutionary algorithms more easily
550 than in other methods. For example, parsing systems based on GP [1] can easily
551 include constraints on the size of the parse trees, based on linguistic knowledge of
552 the most frequent forms of these trees. Similarly, systems generating regular expres-
553 sions for detecting spam messages [19] also use knowledge on the problem by defin-
554 ing fitness functions that favor those expressions with a particular length range. In
555 fact, rule-based and heuristic systems are quite popular in the NLP field. There are
556 many problems for which the best solutions are achieved by hand-generated rules.
557 There are different reasons for this. One may be the lack of sufficient data to gener-
558 ate well-trained ML models. But even in the presence of a large amount of data, the
559 problem can arise from the huge amount of classes to classify them in, as it happens
560 in problems like the assignment of medical codes to medical records (for example,
561 ICD10 for diagnostic coding is composed of 68,000 different codes). In these cases,
562 heuristics designed to fit the particular data may achieved the best results. As the
563 hand-generation of rules is a difficult and expensive task, GP is an alternative to
564 explore in all these cases.

565 There is another important reason that makes the complementarity of these two
566 areas appealing, and offers an opportunity for the development of GP-based sys-
567 tems. This is the availability of evaluation data for a number of NLP tasks. For a

568 long time, a number of evaluation campaigns or shared-tasks related to many NLP
569 problems have been organized. The organizers of these evaluation campaigns define
570 a precise framework in which to address a particular problem and provide training
571 and test data. This way, the teams participating in the competition can compare
572 their methods and results. Some of the best known organizations of competitions
573 are TREC (<https://trec.nist.gov/>), CLEF (<http://www.clef-initiative.eu/>), SemEval
574 (<http://alt.qcri.org/semeval2018/>) or SemEval (https://aclweb.org/aclwiki/SemEval_Portal). Many problems have been addressed in these campaigns: entity recognition,
575 extraction of relationships, word sense disambiguation, opinion mining, etc. In
576 addition, in the area of NLP it is common to develop collections of data (corpora)
577 manually annotated by experts, which are used as reference for the development of
578 systems. This availability of data makes NLP problems an attractive field in which
579 GP techniques can be evaluated, as well as a major challenge due to the difficulty of
580 working with real and extensive data.

582 Finally, in addition to direct applications of this type of heuristics to NLP there
583 is also an indirect relationship. Machine learning (ML) techniques, are currently
584 among the most popular in NLP [47]. Recently, as it has happened in other areas,
585 there has been a explosion of applications of deep learning to NLP problems [18,
586 75]. These applications include machine translation [43] and named entities recognition [74], just to mention two of the most popular. At the same time, these ML
587 techniques, both the classical ones and those based on deep learning, are using GP
588 to improve their results [22, 68]. Thus, another possible way to improve NLP applications is to use GP to improve the design of ML and deep learning systems specific
589 to the considered NLP application.
591

592 7 Challenges

593 Many challenges remain in the NLP area. Among them are the applications that
594 have been considered in this work, such as opinion mining, detection of spam and
595 extraction of information in domains such as health care, legal, journalistic, etc. In
596 addition, the deep understanding of the language also has several pending aspects.
597 Among them are, for example, the detection of negation (negated facts have to be
598 identified) or word sense disambiguation, fundamental in information extraction.
599 Although many of them have already been dealt with, there is plenty of room for
600 improvement.

601 Another important challenge in understanding the language is to advance in the
602 integration of the world knowledge that is required to capture the semantics of texts.
603 Currently there are repositories such as Wikipedia or Babelnet [57] that allow us
604 to connect concepts identified in the texts with additional knowledge about them.
605 These connections can help, for example, to improve question-answering systems.
606 In all these applications, and in many others, GP can help exploring new ways of
607 understanding and approaching the problem.

608 Probably there are two main requirements for the proliferation of works pursuing
609 the application of GP to more NLP problems. One is to improve the performance

610 and the other is to facilitate the design of these applications. They may explain why
611 there are fewer works that one could expect, given the potential of these techniques.

612 The now so popular systems based on deep learning have two very important
613 advantages. One of them is their great performance and the other is the existence
614 of tools for user friendly design. Tools such as Keras [15] have emerged, allowing
615 easy and fast prototyping at a very high level of programming. Many deep learning
616 systems for NLP applications use simple features such as the words in the text, their
617 characters, and their assigned POS tags. Thus the system design is simple using
618 these high level tools and appealing to many researchers.

619 GP systems need a greater effort in the design of the systems, which involves the
620 selection of quite a few elements to be considered, going from the individual repre-
621 sentation to the fitness function and including the data and operators that make up
622 the generated programs or rules. Accordingly, a challenge to consider is the develop-
623 ment of very high level tools that facilitate the quick and easy development of appli-
624 cations of GP to NLP.

625 Another big challenge GP has to face for dealing with NLP applications is to look
626 for mechanisms to improve its performance. Certainly, the computational capac-
627 ity of machines keeps increasing, spreading the use of computationally expensive
628 techniques. This is what happened with deep learning. However, at the same time,
629 the problems we face are becoming more complex and larger amounts of data are
630 required to be processed. This is the case of NLP problems, which deals with real
631 data collected from scientific articles, medical records, or opinions gathered from
632 the Internet. One option is exploring specific designs for NLP. For example, the
633 evaluation of individuals in algorithms working with parse trees, or trees represent-
634 ing taxonomies, is expensive. Mechanisms to reuse the evaluation of parts of trees
635 that have already been evaluated could be very helpful.

636 8 Conclusions

637 This paper has reviewed some works in which GP techniques have been applied to
638 NLP problems, providing interesting ideas. These works suggest that GP and NLP
639 are a combination of techniques that match very well. Some reasons have been iden-
640 tified in Sect. 6. As it is stated in that section, there are quite a few open problems in
641 NLP that offer an opportunity to explore GP techniques.

642 An inherent feature of GP and GE algorithms is that they do not guarantee opti-
643 mality of the solutions. Yet, this feature does not have to be a handicap for many
644 NLP applications. Human language has a strong subjective component. For exam-
645 ple, the usual practice when annotating a linguistic corpus is that several experts
646 annotate the same texts, in order to be able to compare their results and try to reach
647 an agreement on the annotation criteria. Indeed, there are many ways to express the
648 same ideas. In NLP a task is usually carried out by trying to approximate a specific
649 reference model—for example the model for parsing can be given by the parse trees
650 in a corpus. However, the task can become quite different if we consider a different
651 reference model or corpus. Therefore, the approximate character of the solutions of
652 an evolutionary algorithm is not a big deal for most NLP applications.

653 It has also been observed that the amount of work in this line is less than it might
654 be expected from this complementarity between GP and NLP. The challenges section
655 points out two possible research lines that might palliate this situation.

656 **Acknowledgements** This work has been partially supported by the Spanish Ministry of Science and
657 Innovation within the Projects PROSA-MED (TIN2016-77820-C3-2-R).

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